

GO3 – Code of Conduct Amendment

GO3 **Code of Conduct Amendment**
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TRIM 98

EXECUTIVE SUMMARY

- The purpose of this report is to advise Council of the changes to the Model Code of Conduct which was amended in 2015 by the Office of Local Government. The New Model Code of Conduct was gazetted and the commencement date was 13 November 2015.
- This report recommends that Council adopt the amended Wollondilly Shire Council Code of Conduct included in this report.

REPORT

Council received a circular from the Office of Local Government (OLG) on 17 December 2015 advising of the commencement of the Local Government Amendment (Councillor Misconduct and Poor Performance) Act 2015 on 13 November 2015.

The circular outlined the following as noteworthy for Councillors and the General Manager:

- Councillors who are suspended on three occasions will be automatically disqualified from holding office for 5 years.
- As part of the implementation of the new legislation, if Councillors who have been suspended previously (on two or more occasions) are suspended on a further occasion they will also be automatically suspended. The Office has written directly to Councillors who have been suspended on two or more occasions to inform them of this change.
- The definition of "misconduct" has been expanded to include acts or omissions by Councillors that are intended to prevent the proper or effective functioning of a Council or a Committee of a Council (e.g. by disrupting decision making). Penalties for Councillor Misconduct include suspension and disqualification from holding office.
- Councillors will no longer be permitted to participate in the consideration of the making, amendment, alteration or repeal of an environmental planning instrument applying to the whole or a significant part of their local government area they have pecuniary interest in unless:
 - the only interests affected by the changes are the interests they or their relatives have in their principal places of residence; and
 - they have made a special disclosure of the affected interests.

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- This amendment is complemented by an amendment to clause 4.29 of the Model Code of Conduct for Local Councils in NSW which also commenced on 13 November 2015. The amendment will mean that Councillors with significant non-pecuniary conflicts of interests in the making, amendment, alteration or repeal of an environmental planning instrument applying to the whole or a significant part of their local government area will no longer be permitted to participate in consideration of those matters unless:
 - the only interests affected by the changes relate to the interest a person (e.g. a close friend or affiliate of a Councillor) has in their principal places of residence; and
 - the Councillor has disclosed the affected interests

The amendment to the Code of Conduct places the onus on the Complaints Coordinator to amend the adopted Code of Conduct as soon as possible to reflect the amendment to clause 4.29 referred to above.

The effect of the amendment to the Code of Conduct at 4.29 means that:

- A Councillor may now participate in consideration of a matter that they would otherwise have been precluded from participating in because of a non-pecuniary conflict of interests.
- A quorum is unlikely to be lost for decisions on important planning matters through declarations of interests.

Clause 4.29 only applies to non-pecuniary conflict of interests. The Model Code has not amended provisions relating to pecuniary conflict of interests.

Also important to note is the amendment only includes situations in which the conflict of interests arises because of the location of the Councillor's principal place of residence. It does not include any other properties of the Councillor, such as residential, commercial or otherwise.

The amendment to Clause 4.29 and other changes have been incorporated into Council's Code of Conduct which are:

- Remove reference to Administrators throughout the document
- Remove the requirement to sign the meeting/attendance registers
- Correct minor typographical or grammatical errors

Section 440 of the *Local Government Act 1993* requires every Council to adopt a Code of Conduct that incorporates the provisions of the Model Code. Council's adopted Code of Conduct carries reference to 'Administrators'. As Wollondilly Shire Council's structure does not include an Administrator the reference has been removed.

The reference to meeting/attendance registers has been removed as meetings are minuted and the registers are no longer required.

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CONSULTATION

No consultation was required.

FINANCIAL IMPLICATIONS

This matter has no financial impact on Council's adopted budget or forward estimates.

ATTACHMENTS

1. Summary of changes table
2. Draft Code of Conduct

RECOMMENDATION

That Council adopt the amended Wollondilly Shire Council Code of Conduct.

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Summary of Changes – Code of Conduct

Location	Previous Wording	New Wording	Reasoning
Page 2 Table of Contents	Heading - Part 5 Personal Benefits Obligations of councillors and administrators Councillor and administrator access to information	Heading - Part 5 Personal Benefit Obligations of councillors Councillor access to information	Grammar/spelling change Remove reference to "administrators" as not relevant to the council structure.
Page 3 Part 1, Paragraph 2	Councillors, administrators, members of staff of council, independent conduct reviewers, members of council committees including the conduct.....	Councillors, members of staff of council independent conduct reviewers, members of council committees including a conduct...	Remove reference to "administrators" as not relevant to the council structure. Grammar/spelling change
Part 1, Paragraph 3	Nil	additional wording: "A councillor who has been suspended on three or more occasions for misconduct is automatically disqualified from holding civic office for five years."	Incorporates change to Model Code of Conduct by Office of Local Government
Part 1, Paragraph 4	Failure by a member or staff....	Failure by a member of staff...	Grammar/spelling change
Part 2 – Paragraph 1	The Model Code of Conduct....	The Council's Code of Conduct	Reflects this is the Council Code of Conduct
Part 2 – Paragraph 2	The Model Code of Conduct....	The Council's Code of Conduct	

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<p>Page 6 Part 4 – cl 4.15</p> <p>Part 4 – cl 4.17</p>	<p>Other relationship that...</p> <p>...you must provide and explanation...</p>	<p>Other relationships that...</p> <p>...you must provide an explanation...</p>	<p>Grammar/spelling change</p> <p>Grammar/spelling change</p>
<p>Page 7 Part 4 – cl 4.22</p> <p>Part 4 – cl 4.24</p> <p>Part 4 – cl 4.28</p>	<p>For the purpose of this Part: a) ...for the purpose.... b) ...for the purpose....</p> <p>If a councillor has received or knowingly benefited....</p> <p>...interests they have in a matter....</p>	<p>For the purposes of this Part: a)...for the purposes... b)...for the purposes...</p> <p>If a councillor has received or knowingly benefited....</p> <p>...interests they have in the matter...</p>	<p>Grammar/spelling change</p> <p>Grammar/spelling change</p> <p>Grammar/spelling change</p>
<p>Page 8 Part 4 - cl 4.29 Point (B)</p> <p>Part 4 – cl 4.30</p> <p>Part 5</p>	<p>Nil.</p> <p>...business of the of the council....</p> <p>Heading – Personal Benefits</p>	<p>The non-pecuniary conflict of interests arises only because of an interest that a person has in that person's principal place of residence</p> <p>...business of the council...</p> <p>Heading – Personal Benefit</p>	<p>Point added to document, as per Model Code of Conduct November 2015.</p> <p>Remove additional words.</p> <p>Grammar/spelling change</p>
<p>Page 10 Part 6</p>	<p>Heading – Obligations of councillors and administrators</p>	<p>Heading – Obligations of councillors</p>	<p>Remove reference to "administrators" as not relevant to the council structure.</p>
<p>Page 11 Part 6</p>	<p>Councillors must sign the attendance/declaration of interest register/documentation when attending a meeting of council.</p> <p>Council staff must sign the meeting/ attendance register when attending meetings in Council's Administration Building.</p>	<p>Nil.</p> <p>Nil.</p>	<p>Point removed from document, no longer required. Minutes documents record attendance.</p> <p>Point removed from document, no longer required. Minutes documents record attendance.</p>

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<p>Page 11 Part 6 – cl 6.7</p>	<p>a) Councillors and administrators approaching staff....</p> <p>b) Council staff approaching councillors to discuss....</p> <p>d) Councillors and administrators who have lodged a development application...</p> <p>e) Councillors and administrator being overbearing...</p> <p>f) Councillors and administrators making personal attacks on council staff in public forum.</p> <p>g) Councillors and administrators directing or pressuring...</p> <p>h) Council staff providing ad hoc advice to councillors and administrators...</p> <p>j) ...in the case of the Mayor or administrator, exercising their power...</p>	<p>a) Councillors approaching staff...</p> <p>b) Council staff approaching councillors to discuss...</p> <p>d) Councillors who have lodged a development application...</p> <p>e) Councillors being overbearing...</p> <p>f) Councillors making personal attacks on council staff in a public forum.</p> <p>g) Councillors directing or pressuring...</p> <p>h) Council staff providing ad hoc advice to councillors....</p> <p>j)...in the case of the Mayor exercising their power..</p>	<p>Remove references to "Administrator/s".</p>
<p>Page 11 Part 7 Heading</p> <p>Part 7 – cl 7.1</p>	<p>Councillor and administrator access to information</p> <p>The general manager and public officer are responsible for ensuring that members of the public, councillors and administrators...</p> <p>The general manager must provide councillors and administrators...</p>	<p>Councillor access to information</p> <p>The general manager and public officer are responsible for ensuring that members of the public and councillors ...</p> <p>The general manager must provide councillors ...</p>	<p>Change to heading - Remove references to "Administrator/s"</p> <p>Remove references to "Administrator/s"</p> <p>Remove references to "Administrator/s"</p>

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	Members of staff of council must provide full and timely information to councillors and administrators sufficient...	Members of staff of council must provide full and timely information to councillors sufficient ...	
Page 12 Part 7 – cl 7.5	Councillors and administrators who have a private (as distinct from civic) interest...	Councillors who have a private (as distinct from civic) interest...	Remove reference to “Administrator/s”
Part 7 – cl 7.7	Councillors and administrators must properly examine...	Councillors must properly examine...	Remove reference to “Administrator/s”.
Part 7 – cl 7.8	...sought by a councillor or administrator they must...	...sought by a councillor they must...	Remove reference to “Administrator/s”.
	...required for the councillor or administrator to...	...required for the councillor to...	Remove reference to “Administrator/s”
Page 13 Part 7 – cl 7.19	You must not convert any property of the council to your own use unless property authorised.	You must not convert any property of the council to your own use unless properly authorised.	Grammar/spelling change
Page 14 Part 7 – cl 7.21	Councillors and administrators are entitled to have access...	Councillors are entitled to have access...	Remove reference to “Administrator/s”.
	during normal business hours and for meetings. Councillors and administrators...	during normal business hours and for meetings. Councillors...	Remove reference to “Administrator/s”.
Part 7 – cl 7.22	Councillors and administrators must not enter staff-only areas...	Councillors must not enter staff-only areas...	Remove reference to “Administrator/s”.
Part 7 – cl 7.23	Councillors and administrators must ensure...	Councillors must ensure..	Remove reference to “Administrator/s”
Page 15 Part 8 – cl 8.9	You must comply with a practice ruling made by the Division of Local Government	You must comply with a practice ruling made by the Office of Local Government	Change to name of oversight body

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<p>Part 8 – cl 8.14</p>	<p>Complaints alleging a breach of this Part (Part) by a councillor, general manager or an administrator are to be made to the Division of Local Government</p>	<p>Complaints alleging a breach of this Part (Part 8) by a councillor or general manager are to be made to the Office of Local Government</p>	<p>Remove reference to "Administrator/s" and change to name of oversight body.</p>
<p>Page 16 Part 9 - Definitions</p>	<p>In the Model Code of Conduct....</p> <p>Administrator – An administrator of a council appointed under the Act other than an administrator appointed under section 66.</p> <p>Chief Executive of the Division of Local Government, Department of Premier and Cabinet.</p> <p>The term "you" used in the Model Code of Conduct refers to council officials.</p> <p>The phrase "this code" used in the Model Code of Conduct refers also to the procedures for the administration of the Model Code of Conduct prescribed under the Local Government (General) Regulation 2005.</p>	<p>In the Council's Code of Conduct...</p> <p>Nil.</p> <p>Chief Executive of the Office of Local Government</p> <p>The term "you" used in the Council's Code of Conduct refers to council officials</p> <p>The phrase "this code" used in the Council's Code of Conduct refers also to the procedures for the administration of the Code of Conduct prescribed under the Local Government (General) Regulation 2005</p>	<p>This is Council's Code of Conduct</p> <p>Definition removed from document as not necessary</p> <p>Changes from Model Code of Conduct</p> <p>This is Council's Code of Conduct</p> <p>This is Council's Code of Conduct</p>

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Wollondilly
Shire Council

Code of Conduct
DRAFT

WOLLONDILLY SHIRE COUNCIL

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Rural Living

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WOLLONDILLY SHIRE COUNCIL

CODE OF CONDUCT

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PART 1 INTRODUCTION

The Model Code of Conduct for Local Councils in NSW ("the Model Code of Conduct") is made for the purpose of section 440 of the *Local Government Act 1993* ("the Act"). Section 440 of the Act requires every council to adopt a code of conduct that incorporates the provisions of the Model Code. For the purposes of section 440 of the Act, the Model Code of Conduct comprises all parts of this document.

Councillors, and members of staff of council, independent conduct reviewers, members of council committees including the conduct review committee and delegates of the council must comply with the applicable provisions of council's code of conduct in carrying out their functions as council officials. It is the personal responsibility of council officials to comply with the standards in the code and regularly review their personal circumstances with this in mind.

Failure by a councillor to comply with the standards of conduct prescribed under this code constitutes misconduct for the purpose of the Act. The Act provides for a range of penalties that may be imposed on councillors for misconduct, including suspension or disqualification from civic office. A councillor who has been suspended on three or more occasions for misconduct is automatically disqualified from holding civic office for five years.

Failure by a member of staff to comply with council's code of conduct may give rise to disciplinary action.

PART 2 PURPOSE OF THE CODE OF CONDUCT

The Council's Code of Conduct sets the minimum requirements of conduct for council officials in carrying out their functions. The Code is prescribed by regulation.

The Council's Code of Conduct has been developed to assist council officials to:

- Understand the standards of conduct that are expected of them
- Enable them to fulfil their statutory duty to act honestly and exercise a reasonable degree of care and diligence (*section 439*)
- Act in a way that enhances public confidence in the integrity of local government.

PART 3 GENERAL CONDUCT OBLIGATIONS

General conduct

- 3.1 You must not conduct yourself in carrying out your functions in a manner that is likely to bring the council or holders of civic office into disrepute. Specifically, you must not act in a way that:
- a) Contravenes the Act, associated regulations, council's relevant administrative requirements and policies
 - b) Is detrimental to the pursuit of the charter of a council
 - c) Is improper or unethical
 - d) Is an abuse of power or otherwise amounts to misconduct
 - e) Causes, comprises or involves intimidation, harassment or verbal abuse

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- f) Causes, comprises or involves discrimination, disadvantage or adverse treatment in relation to employment
- g) Causes, comprises or involves prejudice in the provision of a service to the community. (*Schedule 6A*)

3.2 You must act lawfully, honestly and exercise a reasonable degree of care and diligence in carrying out your functions under the Act or any other Act. (*section 439*)

3.3 You must treat others with respect at all times.

Fairness and equity

3.4 You must consider issues consistently, promptly and fairly. You must deal with matters in accordance with established procedures, in a non-discriminatory manner.

3.5 You must take all relevant facts known to you, or that you should be reasonably aware of, into consideration and have regard to the particular merits of each case. You must not take irrelevant matters or circumstances into consideration when making decisions.

Harassment and discrimination

3.6 You must not harass, discriminate against, or support others who harass and discriminate against colleagues or members of the public. This includes, but is not limited to harassment and discrimination on the grounds of sex, pregnancy, age, race, responsibilities as a carer, marital status, disability, homosexuality, transgender grounds or if a person has an infectious disease.

Development decisions

3.7 You must ensure that development decisions are properly made and that parties involved in the development process are dealt with fairly. You must avoid any occasion for suspicion of improper conduct in the development assessment process.

3.8 In determining development applications, you must ensure that no action, statement or communication between yourself and applicants or objectors conveys any suggestion of willingness to provide improper concessions or preferential treatment.

Binding caucus votes

3.9 You must not participate in binding caucus votes in relation to matters to be considered at a council or committee meeting.

3.10 For the purpose of clause 3.9, a binding caucus vote is a process whereby a group of councillors are compelled by a threat of disciplinary or other adverse action to comply with a predetermined position on a matter before the council or committee irrespective of the personal views of individual members of the group on the merits of the matter before the council or committee.

3.11 Clause 3.9 does not prohibit councillors from discussing a matter before the council or committee prior to considering the matter in question at a council or committee meeting or from voluntarily holding a shared view with other councillors on the merits of a matter.

3.12 Clause 3.9 does not apply to a decision to elect the Mayor or Deputy Mayor or to nominate a person to be a member of a council committee.

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PART 4 CONFLICT OF INTERESTS

- 4.1 A conflict of interests exists where a reasonable and informed person would perceive that you could be influenced by a private interest when carrying out your public duty.
- 4.2 You must avoid or appropriately manage any conflict of interests. The onus is on you to identify a conflict of interest and take the appropriate action to manage the conflict in favour of your public duty.
- 4.3 Any conflict of interests must be managed to uphold the probity of council decision-making. When considering whether or not you have a conflict of interests, it is always important to think about how others would view your situation.
- 4.4 Private interests can be of two types: pecuniary or non-pecuniary.

What is a pecuniary interest?

- 4.5 A pecuniary interest is an interest that a person has in a matter because of a reasonable likelihood or expectation of appreciable financial gain or loss to the person. (*section 442*)
- 4.6 A person will also be taken to have a pecuniary interest in a matter if that person's spouse or de facto partner or a relative of the person or a partner or employer of the person, or a company or other body of which the person or a nominee, partner or employer of the person is a member, has a pecuniary interest in the matter. (*section 443*)
- 4.7 Pecuniary interests are regulated by chapter 14, part 2 of the Act. The Act requires that:
- a) Councillors and designated persons lodge an initial and an annual written disclosure of interests that could potentially be in conflict with their public or professional duties (*section 449*)
 - b) Councillors and members of council committees disclose an interest and the nature of that interest at a meeting, leave the meeting and be out of sight of the meeting and not participate in discussions or voting on the matter. (*section 451*)
 - c) Designated persons immediately declare, in writing, any pecuniary interest. (*section 459*)
- 4.8 Designated persons are defined at section 441 of the Act, and include, but are not limited to, the general manager and other senior staff of the council.
- 4.9 Where you are a member of staff of council, other than a designated person (as defined by section 441), you must disclose in writing to your supervisor or the general manager, the nature of any pecuniary interest you have in a matter you are dealing with as soon as practicable.

What are non-pecuniary interests?

- 4.10 Non-pecuniary interests are private or personal interests the council official has that do not amount to a pecuniary interest as defined in the Act. These commonly arise out of family, or personal relationships, or involvement in sporting, social or other cultural groups and associations and may include an interest of a financial nature.
- 4.11 The political views of a councillor do not constitute a private interest.

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Managing non-pecuniary conflict of interests

- 4.12 Where you have a non-pecuniary interest that conflicts with your public duty, you must disclose the interest fully and in writing, even if the conflict is not significant. You must do this as soon as practicable.
- 4.13 If a disclosure is made at a council or committee meeting, both the disclosure and the nature of the interest must be recorded in the minutes. This disclosure constitutes disclosure in writing for the purpose of clause 4.12.
- 4.14 How you manage a non-pecuniary conflict of interests will depend on whether or not it is significant.
- 4.15 As a general rule, a non-pecuniary conflict of interests will be significant where a matter does not raise a pecuniary interest but it involves:
- a) A relationship between a council official and another person that is particularly close, for example, parent, grandparent, brother, sister, uncle, aunt, nephew, niece, lineal descendant or adopted child of the person or of the person's spouse, current or former spouse or partner, de facto or other person living in the same household.
 - b) Other relationships that are particularly close, such as friendships and business relationships. Closeness is defined by the nature of the friendship or business relationship, the frequency of contact and the duration of the friendship or relationship.
 - c) An affiliation between the council official and an organisation, sporting body, club, corporation or association that is particularly strong.
- 4.16 If you are a council official, other than a member of staff of council, and you have disclosed that a significant non-pecuniary conflict of interest exists, you must manage it in one of two ways:
- a) Remove the source of the conflict, by relinquishing or divesting the interest that creates the conflict, or reallocating the conflicting duties to another council official.
 - b) Have no involvement in the matter, by absenting yourself from and not taking part in any debate or voting on the issue as if the provisions in section 451(2) of the Act apply.
- 4.17 If you determine that a non-pecuniary conflict of interests is less than a significant and does not require further action, you must provide an explanation of why you consider that the conflict does not require further action in the circumstances.
- 4.18 If you are a member of staff of council, the decision on which option should be taken to manage a non-pecuniary conflict of interest must be made in consultation with your manager.
- 4.19 Despite clause 4.16(b), a councillor who has disclosed that a significant non-pecuniary conflict of interests exists may participate in a decision to delegate council's decision-making role to council staff through the general manager, or appoint another person or body to make the decision in accordance with the law. This applies whether or not council would be deprived of a quorum if one or more councillors were to manage their conflict of interests by not voting on a matter in accordance with clause 4.16(b) above.

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Reportable political donations

- 4.20 Councillors should note that matters before council involving political or campaign donors may give rise to a non-pecuniary conflict of interests.
- 4.21 Where a councillor has received or knowingly benefited from a reportable political donation:
- a) Made by a major political donor in the previous four years; and
 - b) Where the major political donor has a matter before council,
- then the councillor must declare a non-pecuniary conflict of interests, disclose the nature of the interest, and manage the conflict of interests in accordance with clause 4.16(b)
- 4.22 For the purposes of this Part:
- a) A "reportable political donation" is a "reportable political donation" for the purposes of section 86 of the *Election Funding, Expenditure and Disclosures Act 1981*,
 - b) A "major political donor" is a "major political donor" for the purposes of section 84 of the *Election Funding, Expenditure and Disclosures Act 1981*.
- 4.23 Councillors should note that political donations below \$1,000; or political donations to a registered political party or group by which a councillor is endorsed, may still give rise to a non-pecuniary conflict of interests. Councillors should determine whether or not such conflicts are significant and take the appropriate action to manage them.
- 4.24 If a councillor has received or knowingly benefitted from a reportable political donation of the kind referred to in clause 4.21, that councillor is not prevented from participating in a decision to delegate council's decision-making role to council staff through the general manager or appointing another person or body to make the decision in accordance with the law (see clause 4.19 above).

Loss of quorum as a result of compliance with this Part

- 4.25 Where a majority of councillors are precluded under this Part from consideration of a matter the council or committee must resolve to delegate consideration of the matter in question to another person.
- 4.26 Where a majority of councillors are precluded under this Part from consideration of a matter and the matter in question concerns the exercise of a function that may not be delegated under section 377 of the Act, the councillors may apply in writing to the Chief Executive to be exempted from complying with a requirement under this Part relating to the management of a non-pecuniary conflict of interests.
- 4.27 The Chief Executive will only exempt a councillor from complying with a requirement under this Part where:
- a) Compliance by councillors with a requirement under the Part in relation to a matter will result in the loss of a quorum, and
 - b) The matter relates to the exercise of a function of the council that may not be delegated under section 377 of the Act.
- 4.28 Where the Chief Executive exempts a councillor from complying with a requirement under this Part, the councillor must still disclose any interests they have in the matter the exemption applies to in accordance with the requirements of this Part.

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- 4.29 A councillor, who would otherwise be precluded from participating in the consideration of a matter under this Part because they have a non-pecuniary conflict of interests in the matter, is permitted to participate in consideration of the matter, if:
- a) The matter is a proposal relating to:
 - i) The making of a principal environmental planning instrument applying to the whole or a significant part of the council's area, or
 - ii) The amendment, alteration or repeal of an environmental planning instrument where the amendment, alteration or repeal applies to the whole or a significant part of the council's area, and
 - b) The non-pecuniary conflict of interests arises only because of an interest that a person has in that person's principal place of residence, and
 - c) The councillor declares any interest they have in the matter that would otherwise have precluded their participation in consideration of the matter under this Part.

Other business or employment

- 4.30 If you are a member of staff of council considering outside employment or contract work that relates to the business of the council or that might conflict with your council duties, you must notify and seek the approval of the general manager in writing. (*section 353*)
- 4.31 As a member of staff, you must ensure that any outside employment or business you engage in will not:
- a) Conflict with your official duties
 - b) Involve using confidential information or council resources obtained through your work with the council
 - c) Require you to work while on council duty
 - d) Discredit or disadvantage the council.

Personal dealings with council

- 4.32 You may have reason to deal with your council in your personal capacity (for example, as a ratepayer, recipient of a council service or applicant for a consent granted by council). You must not expect or request preferential treatment in relation to any matter in which you have a private interest because of your position. You must avoid any action that could lead members of the public to believe that you are seeking preferential treatment.

PART 5 PERSONAL BENEFIT

For the purpose of this section, a reference to a gift or benefit does not include a political donation or contribution to an election fund that is subject to the provisions of the relevant election funding legislation.

Gifts and benefits

- 5.1 You must avoid situations giving rise to the appearance that a person or body, through the provision of gifts, benefits or hospitality of any kind, is attempting to secure favourable treatment from you or from the council.
- 5.2 You must take all reasonable steps to ensure that your immediate family members do not receive gifts or benefits that give rise to the appearance of being an attempt to secure favourable treatment. Immediate family members ordinarily include parents, spouses, children and siblings.

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Token Gifts and benefits

5.3 Generally speaking, token gifts and benefits include:

- a) Free or subsidised meals, beverages or refreshments provided in conjunction with:
 - i) The discussion of official business
 - ii) Council work related events such as training, education sessions, workshops
 - iii) Conferences
 - iv) Council functions or events
 - v) Social functions organised by groups, such as council committees and community organisations
- b) Invitations to and attendance at local social, cultural or sporting events
- c) Gifts of single bottles of reasonably priced alcohol to individual council officials at end of year functions, public occasions or in recognition of work done (such as providing a lecture/training session/address)
- d) Ties, scarves, coasters, tie pins, diaries, chocolates or flowers
- e) Prizes of token value.

Gifts and benefits of value

5.4 Notwithstanding clause 5.3, gifts and benefits that have more than a token value include, but are not limited to, tickets to major sporting events (such as state or international cricket matches or matches in other national sporting codes (including the NRL, AFL, FFA, NBL)), corporate hospitality at a corporate facility at major sporting events, discounted products for personal use, the frequent use of facilities such as gyms, use of holiday homes, free or discounted travel.

How are offers of gifts and benefits to be dealt with?

5.5 You must not:

- a) Seek or accept a bribe or other improper inducement
- b) Seek gifts or benefits of any kind
- c) Accept any gift or benefit that may create a sense of obligation on your part or may be perceived to be intended or likely to influence you in carrying out your public duty
- d) Accept any gift or benefit of more than token value
- e) Accept an offer of cash or a cash-like gift, regardless of the amount.

5.6 For the purpose of clause 5.5(e), a "cash-like gift" includes but is not limited to gift vouchers, credit cards, debit cards with credit on them, prepayments such as phone or internal credit, memberships or entitlements to discounts.

5.7 Where you receive a gift or benefit of more than token value that cannot reasonably be refused or returned, this must be disclosed promptly to your supervisor, the Mayor or the general manager. The recipient, supervisor, Mayor or general manager must ensure that any gifts or benefits of more than token value that are received are recorded in a Gifts Register. The gift or benefit must be surrendered to council, unless the nature of the gift or benefit makes this impractical.

Improper and undue influence

5.8 You must not use your position to influence other council officials in the performance of their public or professional duties to obtain a private benefit for yourself or for somebody else. A councillor will not be in breach of this clause where they seek to influence other council officials through the appropriate exercise of their representative functions.

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- 5.9 You must not take advantage (or seek to take advantage) of your status or position with or of functions you perform for council in order to obtain a private benefit for yourself or for any other person or body.

PART 6 RELATIONSHIP BETWEEN COUNCIL OFFICIALS

Obligations of councillors

- 6.1 Each council is a body politic. The councillors are the governing body of the council. The governing body has the responsibility of directing and controlling the affairs of the council in accordance with the Act and is responsible for policy determinations, for example, those relating to workforce policy.
- 6.2 Councillors must not:
- a) Direct council staff other than by giving appropriate direction to the general manager in the performance of council's functions by way of council or committee resolution, or by the Mayor exercising their power under section 226 of the Act. (*section 352*)
 - b) In any public or private forum, direct or influence or attempt to direct or influence, any other member of the staff of the council or a delegate of the council in the exercise of the functions of the member or delegate. (*Schedule 6A of the Act*)
 - c) Contact a member of the staff of the council on council related business unless in accordance with the policy and procedures governing the interaction of councillors and council staff that have been authorised by the council and the general manager.
 - d) Contact or issues instructions to any of council's contractors or tenderers, including council's legal advisers, unless by the Mayor exercising their power under section 226 of the Act. This does not apply to council's external auditors or the Chair of council's audit committee who may be provided with any information by individual councillors reasonably necessary for the external auditor or audit committee to effectively perform their functions.

Obligations of staff

- 6.3 The general manager is responsible for the efficient and effective operation of the council's organisation and for ensuring the implementation of the decisions of the council without delay.
- 6.4 Members of staff of council must:
- a) Give their attention to the business of council while on duty
 - b) Ensure that their work is carried out efficiently, economically and effectively
 - c) Carry out lawful directions given by any person having authority to give such directions
 - d) Give effect to the lawful decisions, policies, and procedures of the council, whether or not the staff member agrees with or approves of them
 - e) Ensure that any participation in political activities outside the service of the council does not conflict with the performance of their official duties.

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Obligations during meetings

- 6.5 You must act in accordance with council's Code of Meeting Practice, if council has adopted one and the *Local Government (General) Regulation 2005* during council and committee meetings.
- 6.6 You must show respect to the chair, other council officials and any members of the public present during council and committee meetings or other formal proceedings of the council.

Inappropriate interactions

- 6.7 You must not engage in any of the following inappropriate interactions:
- a) Councillors approaching staff and staff organisations to discuss individual or operational staff matters other than broader workforce policy issues.
 - b) Council staff approaching councillors to discuss individual or operational staff matters other than broader workforce policy issues.
 - c) Council staff refusing to give information that is available to other councillors to a particular councillor.
 - d) Councillors who have lodged a development application with council, discussing the matter with council staff in staff-only areas of the council.
 - e) Councillors being overbearing or threatening to council staff.
 - f) Councillors making personal attacks on council staff in public forum.
 - g) Councillors directing or pressuring council staff in the performance of their work, or recommendations they should make.
 - h) Council staff providing ad hoc advice to councillors without recording or documenting the interaction as they would if the advice was provided to a member of the community.
 - i) Council staff meeting with applicants or objectors alone AND outside office hours to discuss applications or proposals.
 - j) Councillors attending on-site inspection meetings with lawyers and/or consultants engaged by council associated with current or proposed legal proceedings unless permitted to do so by council's general manager or, in the case of the Mayor, exercising their power under section 226 of the Act.

PART 7 ACCESS TO INFORMATION AND COUNCIL RESOURCES

Councillor access to information

- 7.1 The general manager and public officer are responsible for ensuring that members of the public, councillors can gain access to the documents available under the *Government Information (Public Access) Act 2009*.
- 7.2 The general manager must provide councillors with information sufficient to enable them to carry out their civic office functions.
- 7.3 Members of staff of council must provide full and timely information to councillors sufficient to enable them to carry out their civic office functions and in accordance with council procedures.

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- 7.4 Members of staff of council who provide any information to a particular councillor in the performance of their civic duties must also make it available to any other councillor who requests it and in accordance with council procedures.
- 7.5 Councillors who have a private (as distinct from civic) interest in a document of council have the same rights of access as any member of the public.
- 7.6 Councillors are prohibited from requesting information/material the disclosure of which would be prejudicial to the interests of council as a body politic

Councillors to properly examine and consider information

- 7.7 Councillors must properly examine and consider all the information provided to them relating to matters that they are dealing with to enable them to make a decision on the matter in accordance with council's charter.

Refusal of access to documents

- 7.8 Where the general manager and public officer determine to refuse access to a document sought by a councillor they must act reasonably. In reaching this decision they must take into account whether or not the document sought is required for the councillor to perform their civic duty (see clause 7.2). The general manager or public officer must state the reason for the decision if access is refused.

Use of certain council information

- 7.9 In regard to information obtained in your capacity as a council official, you must:
- a) Only access council information needed for council business
 - b) Not use that council information for private purposes
 - c) Not seek or obtain, either directly or indirectly, any financial benefit or other improper advantage for yourself, or any other person or body, from any information to which you have by virtue of your office or position with council
 - d) Only release council information in accordance with established council policies and procedures and in compliance with relevant legislation.

Use and security of confidential information

- 7.10 You must maintain the integrity and security of confidential documents or information in your possession, or for which you are responsible.
- 7.11 In addition to your general obligations relating to the use of council information, you must:
- a) Protect confidential information
 - b) Only release confidential information if you have authority to do so
 - c) Only use confidential information for the purpose it is intended to be used
 - d) Not use confidential information gained through your official position for the purpose of securing a private benefit for yourself or for any other person
 - e) Not use confidential information with the intention to cause harm or detriment to your council or any other person or body
 - f) Not disclose any information discussed during a confidential session of a council meeting.

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Personal information

- 7.12 When dealing with personal information you must comply with:
- a) *The Privacy and Personal Information Protection Act 1998,*
 - b) *The Health Records and Information Privacy Act 2002,*
 - c) The Information Protection Principles and Health Privacy Principles
 - d) Council's privacy management plan,
 - e) The Privacy Code of Practice for Local Government

Use of council resources

- 7.13 You must use council resources ethically, effectively, efficiently and carefully in the course of your official duties, and must not use them for private purposes (except when supplied as part of a contract of employment) unless this use is lawfully authorised and proper payment is made where appropriate.
- 7.14 Union delegates and consultative committee members may have reasonable access to council resources for the purpose of carrying out their industrial responsibilities, including but not limited to:
- a) The representation of members with respect to disciplinary matters
 - b) The representation of employees with respect to grievances and disputes
 - c) Functions associated with the role of the local consultative committee.
- 7.15 You must be scrupulous in your use of council property, including intellectual property, official services and facilities, and must not permit their misuse by any other person or body.
- 7.16 You must avoid any action or situation that could create the appearance that council property, official services or public facilities are being improperly used for your benefit or the benefit of any other person or body.
- 7.17 You must not use council resources, property or facilities for the purpose of assisting your election campaign or the election campaign of others unless the resources, property or facilities are otherwise available for use or hire by the public and any publicly advertised fee is paid for use of the resources, property or facility.
- 7.18 You must not use council letterhead, council crests and other information that could give the appearance it is official council material for:
- a) The purpose of assisting your election campaign or the election campaign of others,
or
 - b) For other non-official purposes
- 7.19 You must not convert any property of the council to your own use unless properly authorised.
- 7.20 You must not use council's computer resources to search for, access, download or communicate any material of an offensive, obscene, pornographic, threatening, abusive or defamatory nature.

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Councillor access to council buildings

- 7.21 Councillors are entitled to have access to the council chamber, committee room, mayor's office (subject to availability), councillors' rooms, and public areas of council buildings during normal business hours and for meetings. Councillors needing access to these facilities at other times must obtain authority from the general manager.
- 7.22 Councillors must not enter staff-only areas of council buildings without the approval of the general manager (or delegate) or as provided in the procedures governing the interaction of councillors and council staff.
- 7.23 Councillors must ensure that when they are within a staff area they avoid giving rise to the appearance that they may improperly influence council staff decisions.

PART 8 MAINTAINING THE INTEGRITY OF THIS CODE

- 8.1 You must not conduct yourself in a manner that is likely to undermine confidence in the integrity of this code or its administration.

Complaints made for an improper purpose

- 8.2 You must not make a complaint or cause a complaint to be made under this code for an improper purpose.
- 8.3 For the purposes of clause 8.2, a complaint is made for an improper purpose when it is trivial, frivolous, vexatious or not made in good faith, or where it otherwise lacks merit and has been made substantially for one or more of the following purposes:
- a) To intimidate or harass another council official
 - b) To damage another council official's reputation
 - c) To obtain a political advantage
 - d) To influence a council official in the exercise of their official functions or to prevent or disrupt the exercise of those functions
 - e) To influence the council in the exercise of its functions or to prevent or disrupt the exercise of those functions
 - f) To avoid disciplinary action under this code
 - g) To take reprisal action against a person for making a complaint under this code except as may be otherwise specifically permitted under this code
 - h) To take reprisal action against a person for exercising a function prescribed under the procedures for the administration of this code except as may be otherwise specifically permitted under this code
 - i) To prevent or disrupt the effective administration of this code.

Detrimental action

- 8.4 You must not take detrimental action or cause detrimental action to be taken against a person substantially in reprisal for a complaint they have made under this code except as may be otherwise specifically permitted under this code.
- 8.5 You must not take detrimental action or cause detrimental action to be taken against a person substantially in reprisal for any function they have exercised under this code except as may be otherwise specifically permitted this code.

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- 8.6 For the purpose of clause 8.4 and 8.5 detrimental action is an action causing, comprising or involving any of the following:
- a) Injury, damage or loss,
 - b) Intimidation or harassment,
 - c) Discrimination, disadvantage or adverse treatment in relation to employment,
 - d) Dismissal from, or prejudice in, employment,
 - e) Disciplinary proceedings.

Compliance with requirements under this code

- 8.7 You must not engage in conduct that is calculated to impede or disrupt the consideration of a matter under this code.
- 8.8 You must comply with a reasonable and lawful request made by a person exercising a function under this code.
- 8.9 You must comply with a practice ruling made by the Office of Local Government.
- 8.10 Where you are a councillor or the general manager, you must comply with any council resolution requiring you to take action as a result of a breach of this code.

Disclosure of information about the consideration of a matter under this code

- 8.11 You must report breaches of this code in accordance with the reporting requirements under this code.
- 8.12 You must not make allegations of suspected breaches of this code at council meetings or in other public forums.
- 8.13 You must not disclose information about the consideration of a matter under this code except for the purposes of seeking legal advice unless the disclosure is otherwise permitted under this code.

Complaints alleging a breach of this part

- 8.14 Complaints alleging a breach of this Part (Part 8) by a councillor or the general manager are to be made to the Office of Local Government.
- 8.15 Complaints alleging a breach of this Part by other council officials are to be made to the general manager.

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PART 9 DEFINITIONS

In the Council's Code of Conduct the following definitions apply:

The Act	the <i>Local Government Act 1993</i>
Act of disorder	see the definition in clause 256 of the <i>Local Government (General) Regulation 2005</i>
Chief Executive	Chief Executive of the Office of Local Government
Committee	a council committee
Conflict of interests	a conflict of interests exists where a reasonable and informed person would perceive that you could be influenced by a private interest when carrying out your public duty
Council committee	a committee established by resolution of council
"Council committee Member"	a person other than a councillor or member of staff of a council who is a member of a council committee
Council official	includes councillors, members of staff of council, council committee members, conduct reviewers and delegates of council
Councillor	a person elected or appointed to civic office and includes a Mayor
Delegate of council	a person (other than a councillor or member of staff of a council) or body, and the individual members of that body, to whom a function of council is delegated
Designated person	see the definition in section 441 of the Act
Election campaign	includes council, State and Federal election campaigns
Personal information	information or an opinion about a person whose identity is apparent, or can be ascertained from the information or opinion
The Regulation	<i>the Local Government (General) Regulation 2005</i>

The term "you" used in the Code of Conduct refers to council officials.

The phrase "this code" used in the Code of Conduct refers also to the procedures for the administration of the Code of Conduct prescribed under the *Local Government (General) Regulation 2005*.